

# Formal topology applied to Riesz spaces

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<sup>0</sup>mostly jww Thierry Coquand

## *Problem 1*

Gian-Carlo Rota (similar remarks by Kolmogorov)

(‘Twelve problems in probability no one likes to bring up’)

Number 1: ‘The algebra of probability’

About the pointwise definition of probability:

‘The beginning definitions in any field of mathematics are always misleading, and the basic definitions of probability are perhaps the most misleading of all.’

Problem: Probability should not be build up from points: impossible events! → develop ‘pointless probability’ (work by Caratheory and von Neumann)

von Neumann - towards Quantum Probability

# Constructive maths

Constructive mathematics

Two important interpretations:

- 1 Computational: type theory, realizability, Eff, ...
- 2 Geometrical: (sheaf) toposes, ...

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### Problem 2

Develop constructive maths without (countable) choice

### Richman

'Measure theory and the spectral theorem are major challenges for a choiceless development of constructive mathematics and I expect a choiceless development of this theory to be accompanied by some surprising insights and a gain of clarity.'

We will address both of these problems simultaneously.

# Point Free Topology

Choice is used to construct  
*ideal* points (real numbers, max. ideals).  
Avoiding points one can avoid  
choice and non-constructive reasoning

- Pointfree topology aka locale theory, formal topology (formal opens)

These formal objects model basic observations

Topology: lattice of **sets** closed under unions and finite intersections

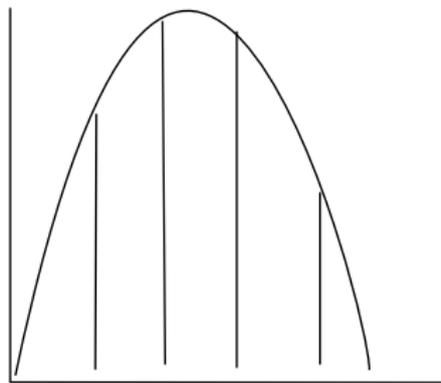
Pointfree topology: lattice closed under joins and finite meets

pointfree topology = complete Heyting algebra

See Palmgren's talk.

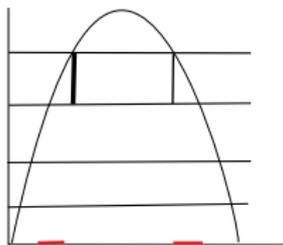
- Riemann
- Lebesgue
- Daniell - Positive linear functionals
  - Bishop integration spaces

Riemann considered partitions of the domain



$$\int f = \lim \sum f(x_i) |x_{i+1} - x_i|$$

Lebesgue considered partitions of the range



Need measure on the domain:

$$\int f = \lim \sum s_i \mu(s_i \leq f < s_{i+1})$$

Consider integrals on algebras of *functions*.

Classical Daniell theory

integration for positive linear functionals on space of continuous functions  
on a topological space

Prime example: Lebesgue integral  $\int$

Linear:  $\int af + bg = a \int f + b \int g$

Positive: If  $f(x) \geq 0$  for all  $x$ , then  $\int f \geq 0$ .

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Can be extended to a quite general class of underlying topological spaces

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$$\begin{array}{ccc} C(X) & \rightarrow & \mathcal{L}_1 \\ & \searrow & \downarrow \\ & & L_1 \end{array}$$

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Work with  $\mathcal{L}_1$  because functions 'are easy'.

Secretly we work with  $L_1$ .

Do this overtly with an abstract space of functions, see later.

We generalize Bishop/Cheng and metric Boolean algebras  
Integral on Riesz space

## Definition

A *Riesz space* (vector lattice) is a vector space with 'compatible' lattice operations  $\vee, \wedge$ .

E.g.  $f \vee g + f \wedge g = f + g$ .

Prime ('only') example:

vector space of real functions with pointwise  $\vee, \wedge$ .

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We assume that Riesz space  $R$  has a strong unit  $1$ :  $\forall f \exists n. f \leq n \cdot 1$ .

An integral on a Riesz space is a positive linear functional  $I$

Most of Bishop's results generalize to Riesz spaces!

However, we first need to show how to handle multiplication.

Once we know how to do this we can treat:

- 1 integrable, measurable functions,  $L_p$ -spaces
- 2 Riemann-Stieltjes
- 3 Dominated convergence
- 4 Radon-Nikodym
- 5 Spectral theorem

# Profile theorem

The profile theorem is crucial in Bishop's development  
However, it implies that the reals are uncountable.

## Theorem (Rosolini/S)

*The (Dedekind) reals are not uncountable (in  $Sh(\mathbb{R})$ ).*

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Substitute for the profile theorem...

'Every Riesz space can be embedded in an algebra of continuous functions'

## Theorem (Classical Stone-Yosida)

*Let  $R$  be a Riesz space. Let  $\text{Max}(R)$  be the space of representations. The space  $\text{Max}(R)$  is compact Hausdorff and there is a Riesz embedding  $\hat{\cdot} : R \rightarrow C(\text{Max}(R))$ . The uniform norm of  $\hat{a}$  equals the norm of  $a$ .*

We will replace  $\text{Max}(R)$  by a formal space.

- Substitute for the profile theorem
- Towards spectral theorem
- To define multiplication

# Entailment

Pointfree definition of a space using entailment relation  $\vdash$

Used to represent distributive lattices

Write  $A \vdash B$  iff  $\bigwedge A \leq \bigvee B$

Conversely, given an entailment relation define a lattice:

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Topology is a distributive lattice

order: covering relation

'Domain theory in logical form'

Topology = theory of (finite) **observations** (Smyth, Vickers, Abramsky ...)

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Stone's duality :

Boolean algebras and Stone spaces

distributive lattices and coherent  $T_0$  spaces

Points are **models**

space is **theory**, open is **formula**

model theory  $\rightarrow$  proof theory

# Spectral theorem

Pointfree Stone-Yosida implies Bishop's version of the **Gelfand** representation theorem (Coquand/S:2005) answering Richman's challenge.

... and the classical theorem (by a direct application of AC).

Bishop proves the representation theorem using  $\epsilon$ -eigenvalues, which **has** computational content, to prove that a bound is preserved, which has **no** computational content.

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We have proved the Stone-Yosida representation theorem:

## Theorem

*Every Riesz space can be embedded in an algebra of continuous functions on its spectrum qua formal space.*

Any integral can be extended to all the continuous functions. Thus we are in a formal Daniell setting!

We can now develop much of Bishop's integration theory in this abstract setting.

# Another application

An  $f$ -algebra is a Riesz space with multiplication.

## Theorem

*Every  $f$ -algebra is commutative.*

Several proofs using AC.

'Constructive' (i.e. no AC) proof by Buskens and van Rooij.

Mechanically translation to a *simpler constructive* proof (no PEM, AC) which is entirely internal to the theory of Riesz spaces.

# Summary

- Observational mathematics
  - Topology
  - Measure theory
- Integration on Riesz spaces (towards Richman's challenge).
  - 'functions' instead of 'opens'
  - Most of Bishop's results can be generalized to this setting!
- New (easier) proof of Bishop's spectral theorems using Coquand's Stone representation theorem (pointfree topology)
- The reals are not uncountable.
- Pointfree is natural in constructive maths without choice
- There's more...

- Formal Topology and Constructive Mathematics: the Gelfand and Stone-Yosida Representation Theorems (with Coquand)
- Constructive algebraic integration theory without choice
- Constructive algebraic Integration theory
- Coquand - About Stone's notion of spectrum J. Pure Appl. Algebra, 197(1-3):141-158, 2005



Richman: DC is often used to pick a path (choice sequence) in a tree/  
subset of Baire space.

Proposal: consider the trees of *all* paths directly.

Example: construction of *all* zeros of a polynomial in the FTA.

# Sequences, trees, spaces

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subset of Baire space.

Proposal: consider the trees of *all* paths directly.

Example: construction of *all* zeros of a polynomial in the FTA.

The tree represents a topological space.

Here we give a formal description of this space.

Basic opens for finite paths.

Now: consider the formal space of 'all' choices.

Again the idea was obtained in both worlds:

Brouwer's theory of spreads and in topos theory