Static Program Analysis Part 10 – pointer analysis

https://cs.au.dk/~amoeller/spa/

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Agenda

- Introduction to pointer analysis
- Andersen's analysis
- Steensgaard's analysis
- Interprocedural pointer analysis
- Records and objects
- Null pointer analysis
- Flow-sensitive pointer analysis

Analyzing programs with pointers

How do we perform e.g. constant propagation analysis when the programming language has pointers? (or object references?)

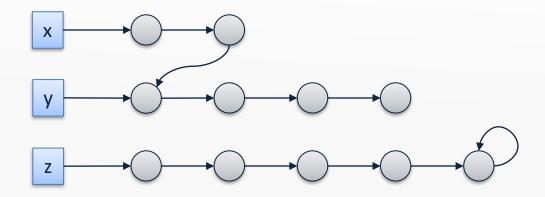
```
*x = 42;
*y = -87;
z = *x;
// is z 42 or -87?
```

$$Stm \rightarrow ...$$

$$| *Id = Exp;$$

Heap pointers

- For simplicity, we initially ignore records
 - alloc then only allocates a single cell
 - only linear structures can be built in the heap



- Let's also ignore functions as values for now
- We still have many interesting analysis challenges...

Pointer targets

- The fundamental question about pointers:
 What cells can they point to?
- We need a suitable abstraction
- The set of (abstract) cells, *Cell*, contains
 - alloc-i for each allocation site with index i
 - X for each program variable named X
- This is called allocation site abstraction
- Each abstract cell may correspond to many concrete memory cells at runtime

Points-to analysis

- Determine for each pointer variable X the set pt(X) of the cells X may point to
- A conservative ("may points-to") analysis:
 - the set may be too large
 - can show absence of aliasing: $pt(X) \cap pt(Y) = \emptyset$
- We'll focus on *flow-insensitive* analyses:
 - take place on the AST
 - before or together with the control-flow analysis

*x = 42:

*y = -87;

// is z 42 or -87?

Obtaining points-to information

- An almost-trivial analysis (called address-taken):
 - include all alloc-i cells
 - include the X cell if the expression &X occurs in the program
- Improvement for a typed language:
 - eliminate those cells whose types do not match
- This is sometimes good enough
 - and clearly very fast to compute

Pointer normalization

- Assume that all pointer usage is normalized:
 - X = alloc P where P is null or an integer constant
 - X = &Y
 - X = Y
 - X = *Y
 - *X = Y
 - *X* = null
- Simply introduce lots of temporary variables...
- All sub-expressions are now named
- We choose to ignore the fact that the cells created at variable declarations are uninitialized (otherwise it is impossible to get useful results from a flow-insensitive analysis)

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Andersen's analysis (1/2)

- For every cell c, introduce a constraint variable $[\![c]\!]$ ranging over sets of cells, i.e. $[\![\cdot]\!]$: $Cell \to \mathcal{P}(Cell)$
- Generate constraints:

•
$$X = alloc P$$
:

alloc
$$-i \in [X]$$

•
$$X = &Y$$
:

$$Y \in [X]$$

•
$$X = Y$$
:

$$[Y] \subseteq [X]$$

•
$$X = *Y$$
:

$$c \in [Y] \Rightarrow [c] \subseteq [X]$$
 for each $c \in Cell$

•
$$*X = Y$$
:

$$c \in [X] \Rightarrow [Y] \subseteq [c]$$
 for each $c \in Cell$

(no constraints)

(For the conditional constraints, there's no need to add a constraint for the cell x if &x does not occur in the program)

Andersen's analysis (2/2)

The points-to map is defined as:

$$pt(X) = [X]$$

- The constraints fit into the cubic framework ©
- Unique minimal solution in time $O(n^3)$
- In practice, for Java: $O(n^2)$
- The analysis is flow-insensitive but directional
 - models the direction of the flow of values in assignments

Example program

```
var p,q,x,y,z;
p = alloc null;
x = y;
X = Z;
*p = z;
p = q;
q = &y;
x = *p;
p = \&z;
```

 $Cell = \{p, q, x, y, z, alloc-1\}$

Applying Andersen

Generated constraints:

```
alloc-1 \in [p]

[y] \subseteq [x]

[z] \subseteq [x]

c \in [p] \Rightarrow [z] \subseteq [c] for each c \in Cell

[q] \subseteq [p]

y \in [q]

c \in [p] \Rightarrow [c] \subseteq [x] for each c \in Cell

z \in [p]
```

Smallest solution:

$$pt(p) = \{ alloc-1, y, z \}$$

 $pt(q) = \{ y \}$
 $pt(x) = pt(y) = pt(z) = \emptyset$

A specialized cubic solver

 At each load/store instruction, instead of generating a conditional constraint for each cell, generate a single universally quantified constraint:

```
• t \in [x]
• [x] \subseteq [y]
• \forall t \in [x] : [t] \subseteq [y]
```

- $\bullet \forall t \in [x]: [y] \subseteq [t]$
- Whenever a token is added to a set, lazily add new edges according to the universally quantified constraints
- Note that every token is also a constraint variable here
- Still cubic complexity, but faster in practice

A specialized cubic solver

- $x.sol \subseteq T$: the set of tokens for x (the bitvectors)
- x.succ \subseteq V: the successors of x (the edges)
- x.from \subseteq V: the first kind of quantified constraints for x
- $x.to \subseteq V$: the second kind of quantified constraints for x
- $W \subseteq T \times V$: a worklist (initially empty)

Implementation: SpecialCubicSolver

A specialized cubic solver

- t ∈ [x]
 addToken(t, x)
 propagate()
- [X]
 — [y]
 addEdge(x, y)
 propagate()
- ∀t ∈ [x]: [t] ⊆ [y]
 add y to x.from
 for each t in x.sol
 addEdge(t, y)
 propagate()
- ∀t ∈ [x]: [y] ⊆ [t]
 add y to x.to
 for each t in x.sol
 addEdge(y, t)
 propagate()

```
addToken(t, x):
 if t ∉ x.sol
   add t to x.sol
   add (t, x) to W
addEdge(x, y):
 if x \neq y \land y \notin x.succ
   add y to x.succ
   for each t in x.sol
     addToken(t, y)
propagate():
 while W \neq \emptyset
    pick and remove (t, x) from W
   for each y in x.from
     addEdge(t, y)
   for each y in x.to
     addEdge(y, t)
   for each y in x.succ
     addToken(t, y)
```

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Steensgaard's analysis

- View assignments as being bidirectional
- Generate constraints:

•
$$X = \text{alloc } P$$
: $\text{alloc-} i \in [X]$

•
$$X = \&Y$$
: $Y \in \llbracket X \rrbracket$

•
$$X = Y$$
: $[X] = [Y]$

•
$$X = *Y$$
: $c \in [Y] \Rightarrow [c] = [X]$ for each $c \in Cell$

• *
$$X = Y$$
: $c \in [X] \Rightarrow [Y] = [c]$ for each $c \in Cell$

Extra constraints:

 $c_1, c_2 \in [\![c]\!] \Rightarrow [\![c_1]\!] = [\![c_2]\!]$ and $[\![c_1]\!] \cap [\![c_2]\!] \neq \emptyset \Rightarrow [\![c_1]\!] = [\![c_2]\!]$ (whenever a cell may point to two cells, they are essentially merged into one)

• Steensgaard's original formulation uses conditional unification for X = Y: $c \in [Y] \Rightarrow [X] = [Y]$ for each $c \in Cell$ (avoids unifying if Y is never a pointer)

Steensgaard's analysis

- Reformulate as term unification
- Generate constraints:

•
$$X = &Y$$
:

•
$$X = Y$$
:

•
$$X = *Y$$
:

•
$$*X = Y$$
:

$$[X] = \mathbf{1}[a] \log -i$$

$$[\![X]\!] = \mathbf{1}[\![Y]\!]$$

$$[\![X]\!] = [\![Y]\!]$$

$$[Y] = \mathbf{1} \alpha \wedge [X] = \alpha$$
 where α is fresh

$$[X] = \mathbf{1} \alpha \wedge [Y] = \alpha$$
 where α is fresh

- Terms:
 - term variables, e.g. [X], [alloc-i], α (each representing the possible values of a cell)
 - a single (unary) term constructor $\mathbf{1}t$ (representing pointers)
 - each [c] is now a term variable, not a constraint variable holding a set of cells
- Fits with our unification solver! (union-find...)
- The points-to map is defined as $pt(X) = \{ c \in Cell \mid [X] = \mathbf{1}[c] \}$
- Note that there is only one kind of term constructor, so unification never fails

Applying Steensgaard

Generated constraints (as sets or terms, respectively):

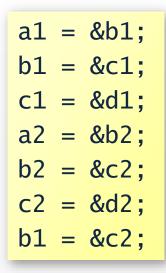
```
alloc-1 \in \llbracket p \rrbracket
||y|| = ||x||
||z|| = ||x||
c \in [p] \Rightarrow [z] = [c] for each c \in Cell
[q] = [p]
y \in [q]
c \in [p] \Rightarrow [c] = [x] for each c \in Cell
z \in [p]
+ the extra constraints
```

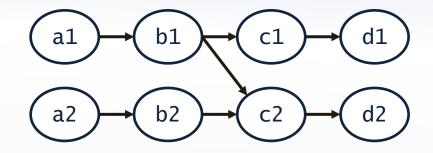
Smallest solution:

. . .

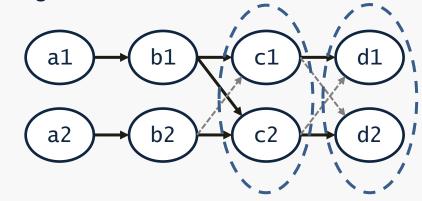
Another example

Andersen:





Steensgaard:



Recall our type analysis...

- Focusing on pointers...
- Constraints:

Implicit extra constraint for term equality:

$$\mathbf{1}t_1 = \mathbf{1}t_2 \Rightarrow t_1 = t_2$$

 Assuming the program type checks, is the solution for pointers the same as for Steensgaard's analysis?

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Interprocedural pointer analysis

• In TIP, function values and pointers may be mixed together:

$$(***x)(1,2,3)$$

• In this case the CFA and the points-to analysis must happen *simultaneously*!

The idea: Treat function values as a kind of pointers

Function call normalization

Assume that all function calls are of the form

$$X = X_0(X_1, ..., X_n)$$

Assume that all return statements are of the form

- As usual, simply introduce lots of temporary variables...
- Include all function names in the set Cell

CFA with Andersen

For the function call

$$X = X_0(X_1, ..., X_n)$$

and every occurrence of

Andersen's analysis is already closely connected to control-flow analysis!

 $f(X'_1, ..., X'_n)$ { ... return X'; } add these constraints:

$$f \in \llbracket f \rrbracket$$

$$f \in \llbracket X_0 \rrbracket \Rightarrow (\llbracket X_i \rrbracket \subseteq \llbracket X'_i \rrbracket \text{ for i=1,...,} n \land \llbracket X' \rrbracket \subseteq \llbracket X \rrbracket)$$

- (Similarly for simple function calls)
- Fits directly into the cubic framework!

CFA with Steensgaard

For the function call

$$X = X_0(X_1, ..., X_n)$$

and every occurrence of

$$f(X'_1, ..., X'_n) \{ ... return X'; \}$$

add these constraints:

$$f \in \llbracket f \rrbracket$$

$$f \in \llbracket X_0 \rrbracket \Rightarrow (\llbracket X_i \rrbracket = \llbracket X'_i \rrbracket \text{ for i=1,...,} n \land \llbracket X' \rrbracket = \llbracket X \rrbracket)$$

- (Similarly for simple function calls)
- Fits into the unification framework, but requires a generalization of the ordinary union-find solver

```
foo(a) {
  return *a;
bar() {
  x = alloc null; // alloc-1
  y = alloc null; // alloc-2
  *x = alloc null; // alloc-3
  *y = alloc null; // alloc-4
  q = foo(x);
 W = foo(y);
```

- Generalize the abstract domain $Cell \rightarrow P(Cell)$ to $Context \rightarrow Cell \rightarrow P(Cell)$ (or equivalently: $Cell \times Context \rightarrow P(Cell)$) where Context is a (finite) set of call contexts
- As usual, many possible choices of the set *Context* recall the call string approach and the functional approach
- We can also track the set of reachable contexts (like the use of lifted lattices earlier):

$$Context \rightarrow lift(Cell \rightarrow \mathcal{P}(Cell))$$

Does this still fit into the cubic solver?

```
mk() {
  return alloc null; // alloc-1
baz() {
 var x,y;
 x = mk();
  y = mk();
```

- We can go one step further and introduce context-sensitive heap (a.k.a. heap cloning)
- Let each abstract cell be a pair of
 - alloc-i (the alloc with index i) or X (a program variable)
 - a heap context from a (finite) set HeapContext
- This allows abstract cells to be named by the source code allocation site and (information from) the current context
- One choice:
 - set HeapContext = Context
 - at alloc, use the entire current call context as heap context

Context-sensitive pointer analysis with heap cloning

Assuming we use the call string approach with k=1, so Context = $\{\varepsilon, c1, c2\}$, and HeapContext = Context

```
mk() {
  return alloc null; // alloc-1
baz() {
 var x,y;
  x = mk(); // c1
  y = mk(); // c2
```

```
Are x and y aliases?
```

$$[X] = \{ (alloc-1, c1) \}$$

 $[Y] = \{ (alloc-1, c2) \}$

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Records in TIP

```
Exp → ...
| { Id:Exp, ..., Id:Exp }
| Exp.Id
```

- Field write operations: see SPA...
- Values of record fields cannot themselves be records
- After normalization:

```
X = { F<sub>1</sub>: X<sub>1</sub>, ..., F<sub>k</sub>: X<sub>k</sub> }
X = alloc { F<sub>1</sub>: X<sub>1</sub>, ..., F<sub>k</sub>: X<sub>k</sub> }
X = Y<sub>1</sub>F
```

Let us extend Andersen's analysis accordingly...

Constraint variables for record fields

• $[\![\cdot]\!]$: $(Cell \cup (Cell \times Field)) \rightarrow \mathcal{P}(Cell)$ where Field is the set of field names in the program

Notation: [c.f] means [(c, f)]

Analysis constraints

- $X = \{ F_1 : X_1, \dots, F_k : X_k \} : [X_1] \subseteq [X.F_1] \land \dots \land [X_k] \subseteq [X.F_k]$
- $X = \text{alloc} \{ F_1 : X_1, \dots, F_k : X_k \} : \text{alloc-} i \in [\![X]\!] \land [\![X_1]\!] \subseteq [\![alloc-i.F_1]\!] \land \dots \land [\![X_k]\!] \subseteq [\![alloc-i.F_k]\!]$
- X = Y.F: $[Y.F] \subseteq [X]$
- X = Y: $[Y] \subseteq [X] \land [Y.F] \subseteq [X.F]$ for each $F \in Field$
- X = *Y: $c \in [Y] \Rightarrow ([c] \subseteq [X] \land [c.F] \subseteq [X.F])$ for each $c \in Cell$ and $F \in Field$
- *X = Y: $c \in [X] \Rightarrow ([Y] \subseteq [c] \land [Y.F] \subseteq [c.F])$ for each $c \in Cell$ and $F \in Field$

See example in SPA

Objects as mutable heap records

```
Exp → ...
| Id
| alloc { Id: Exp, ..., Id: Exp }
| (*Exp).Id
| null
```

```
Stm \rightarrow ...
| Id = Exp;
| (*Exp) \cdot Id = Exp;
```

- E.X in Java corresponds to (*E).X in TIP (or C)
- Can only create pointers to heap-allocated records (=objects), not to variables or to cells containing non-record values

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Null pointer analysis

- Decide for every dereference *p, is p different from null?
- (Why not just treat null as a special cell in an Andersen or Steensgaard-style analysis?)
- Use the monotone framework
 - assuming that a points-to map pt has been computed
- Let us consider an intraprocedural analysis
 (i.e. we ignore function calls)

A lattice for null analysis

• Define the simple lattice *Null*:



where NN represents "definitely **n**ot **n**ull" and ? represents "maybe null"

Use for every program point the map lattice:

(here for TIP without records)

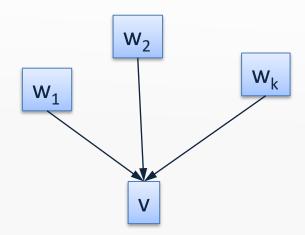
Setting up

- For every CFG node, v, we have a variable [[v]]:
 - a map giving abstract values for all cells at the program point after v
- Auxiliary definition:

$$JOIN(v) = \coprod [w]$$

 $w \in pred(v)$

(i.e. we make a forward analysis)



For operations involving pointers:

$$||v|| = ???$$

•
$$X = &Y$$
:

•
$$X = Y$$
:

•
$$X = *Y$$
:

•
$$*X = Y$$
:

$$||v|| = ???$$

For all other CFG nodes:

where *P* is null or an integer constant

- For a heap store operation *X = Y we need to model the change of whatever X points to
- That may be multiple abstract cells (i.e. the cells pt(X))
- With the present abstraction, each abstract heap cell alloc-i may describe multiple concrete cells
- So we settle for weak update:

*
$$X = Y$$
: $\llbracket v \rrbracket = store(JOIN(v), X, Y)$
where $store(\sigma, X, Y) = \sigma[\alpha \mapsto \sigma(\alpha) \sqcup \sigma(Y)]$

- For a heap load operation $X = {}^*Y$ we need to model the change of the program variable X
- Our abstraction has a single abstract cell for X
- That abstract cell represents a single concrete cell
- So we can use strong update:

$$X = *Y$$
: $\llbracket v \rrbracket = load(JOIN(v), X, Y)$
where $load(\sigma, X, Y) = \sigma[X \mapsto \bigsqcup_{\alpha \in pt(Y)} \sigma(\alpha)]$

Strong and weak updates

```
concrete execution:
                     mk() {
                       return alloc null; // alloc-1
          nu11
abstract execution:
                     a = mk();
          null
                     b = mk();
                     c = alloc null; // alloc-2
                     *b = C; // strong update here would be unsound!
          nu11
                     d = *a:
```

is d null here?

The abstract cell alloc-1 corresponds to multiple concrete cells

Strong and weak updates

```
a = alloc null; // alloc-1
b = alloc null; // alloc-2
*a = alloc null; // alloc-3
*b = alloc null; // alloc-4
if (...) {
 x = a;
} else {
  x = b:
n = null;
*x = n; // strong update here would be unsound!
c = *x;
```

is C null here?

The points-to set for x contains *multiple abstract cells*

```
    X = alloc P:  [v] = JOIN(v)[X → NN, alloc-i → ?]
    X = &Y:  [v] = JOIN(v)[X → NN]
    X = Y:  [v] = JOIN(v)[X → JOIN(v)(Y)]
    X = null:  [v] = JOIN(v)[X → ?]
```

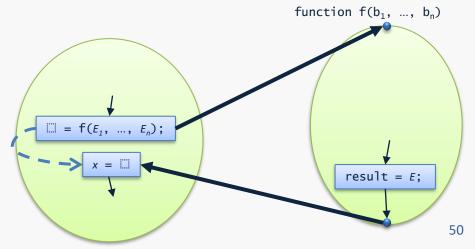
- In each case, the assignment modifies a program variable
- So we can use strong updates, as for heap load operations

Strong and weak updates, revisited

- Strong update: $\sigma[c \mapsto new\text{-}value]$
 - possible if c is known to refer to a single concrete cell
 - works for assignments to local variables
 (as long as TIP doesn't have e.g. nested functions)
- Weak update: $\sigma[c \mapsto \sigma(c) \sqcup new-value]$
 - necessary if c may refer to multiple concrete cells
 - bad for precision, we lose some of the power of flow-sensitivity
 - required for assignments to heap cells (unless we extend the analysis abstraction!)

Interprocedural null analysis

- Context insensitive or context sensitive, as usual...
 - at the after-call node, use the heap from the callee
- But be careful!
 Pointers to local variables may escape to the callee
 - the abstract state at the after-call node cannot simply copy the abstract values for local variables from the abstract state at the call node



Using the null analysis

The pointer dereference *p is "safe" at entry of v if
 JOIN(v)(p) = NN

• The quality of the null analysis depends on the quality of the underlying points-to analysis

Example program

```
p = alloc null;
q = &p;
n = null;
*q = n;
*p = n;
```

Andersen generates:

```
pt(p) = {alloc-1}
pt(q) = {p}
pt(n) = Ø
```

Generated constraints

Solution

- At the program point before the statement *q=n
 the analysis now knows that q is definitely non-null
- ... and before *p=n, the pointer p is maybe null
- Due to the weak updates for all heap store operations, precision is bad for alloc-i cells

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Points-to graphs

- Graphs that describe possible heaps:
 - nodes are abstract cells
 - edges are possible pointers between the cells
- The lattice of points-to graphs is $\mathcal{P}(\textit{Cell} \times \textit{Cell})$ ordered under subset inclusion (or alternatively, $\textit{Cell} \rightarrow \mathcal{P}(\textit{Cell})$)
- For every CFG node, v, we introduce a constraint variable \[v\] describing the state after v
- Intraprocedural analysis (i.e. ignore function calls)

Constraints

For pointer operations:

```
• X = \text{alloc } P: [v] = JOIN(v) \downarrow X \cup \{ (X, \text{alloc} - i) \}

• X = \&Y: [v] = JOIN(v) \downarrow X \cup \{ (X, Y) \}

• X = Y: [v] = JOIN(v) \downarrow X \cup \{ (X, t) \mid (Y, t) \in JOIN(v) \}

• X = *Y: [v] = JOIN(v) \downarrow X \cup \{ (X, t) \mid (Y, s) \in \sigma, (s, t) \in JOIN(v) \}

• *X = Y: [v] = JOIN(v) \cup \{ (s, t) \mid (X, s) \in JOIN(v), (Y, t) \in JOIN(v) \}

• X = \text{null: } [v] = JOIN(v) \downarrow X

where \sigma \downarrow X = \{ (s, t) \in \sigma \mid s \neq X \}
```

$$JOIN(v) = \bigcup_{w \in pred(v)} \llbracket w \rrbracket$$

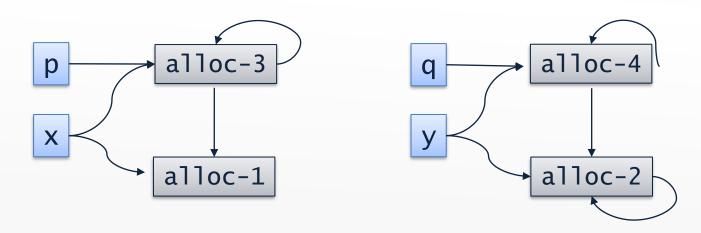
- For all other CFG nodes:
 - [[v]] = JOIN(v)

Example program

```
var x,y,n,p,q;
x = alloc null; y = alloc null;
*x = null; *y = y;
n = input;
while (n>0) {
  p = alloc null; q = alloc null;
  *p = x; *q = y;
 x = p; y = q;
 n = n-1;
```

Result of analysis

After the loop we have this points-to graph:



We conclude that x and y will always be disjoint

Points-to maps from points-to graphs

A points-to map for each program point v:

$$pt(X) = \{ t \mid (X,t) \in [\![v]\!] \}$$

- More expensive, but more precise:
 - Andersen: $pt(x) = \{ y, z \}$
 - flow-sensitive: $pt(x) = \{z\}$

$$x = &y x = &z$$

Improving precision with abstract counting

- The points-to graph is missing information:
 - alloc-2 nodes always form a self-loop in the example
- We need a more detailed lattice:

$$\mathcal{P}(\textit{Cell} \times \textit{Cell}) \times (\textit{Cell} \rightarrow \textit{Count})$$

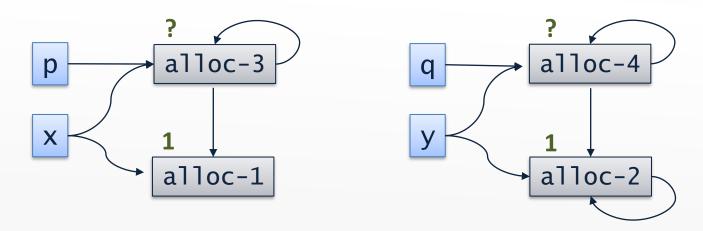
where we for each cell keep track of how many concrete cells that abstract cell describes

$$Count = 0 1 > 1$$

 This permits strong updates on those that describe precisely 1 concrete cell

Better results

After the loop we have this extended points-to graph:



- Thus, alloc-2 cells form a self-loop
- Both alloc-1 and alloc-2 permit strong updates

Escape analysis

- Perform a points-to analysis
- Look at return expression
- Check reachability in the points-to graph to arguments or variables defined in the function itself

None of those↓

no escaping stack cells

```
baz() {
  var x;
  return &x;
main() {
  var p;
  p=baz();
  *p=1;
  return *p;
```