External Memory Planar Point Location with Logarithmic Updates^{*}

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Abstract

Point location is an extremely well-studied problem both in internal memory models and recently also in the external memory model. In this paper, we present an I/Oefficient dynamic data structure for point location in general planar subdivisions. Our structure uses linear space to store a subdivision with N segments. Insertions and deletions of segments can be performed in amortized $O(\log_B N)$ I/Os and queries can be answered in $O(\log_B^2 N)$ I/Os in the worst-case. The previous best known linear space dynamic structure also answers queries in $O(\log_B^2 N)$ I/Os, but only supports insertions in amortized $O(\log_B^2 N)$ I/Os. Our structure is also considerably simpler than previous structures.

1 Introduction

Planar point location is a classical problem in computational geometry: Given a planar subdivision Π with N segments (i.e., a decomposition of the plane into polygonal regions induced by a straight-line planar graph), the problem consists of preprocessing Π into a data structure so that the face of Π containing an arbitrary query point p can be reported quickly. This problem has applications in e.g. graphics, spatial databases, and geographic information systems. The planar subdivisions arising in many applications in these areas

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are too massive to fit in internal memory and must reside on disk. In such instances the I/O communication, rather than the CPU computation time, is the bottleneck. Most work on planar point location, especially if we allow the edges and vertices of Π to be changed dynamically, has focused on minimizing the CPU computation time under the assumption that the subdivision fits in main memory (e.g., [3, 10, 12, 13, 18, 21, 23]). Only a few results are known for I/O-efficient dynamic point location when the subdivision is stored in external memory [1, 7]. In this paper, we improve the update bound of the previous best known external memory dynamic structure.

1.1 Previous results

Internal memory model. Edelsbrunner *et al.* [17] proposed an optimal static data structure for point location in planar monotone subdivision, i.e., subdivisions where the intersection of any face and any vertical line is a (possibly empty) single interval. Their data structure uses O(N) space, can be constructed in O(N) time, and supports queries in $O(\log_2 N)$ time. For arbitrary planar subdivisions, linear space structures with logarithmic query time and $O(N \log_2 N)$ construction time are known; see, e.g., [21, 23]. For the dynamic version of the problem where we allow the edges and vertices to be changed dynamically, Cheng and Janardan [12] gave a structure that supports queries in $O(\log_2^2 N)$ time and supports updates in $O(\log_2 N)$ time. The structure given by Baumgarten et al. [10] supports queries in $O((\log_2 N) \log_2 \log_2 N)$ time worst case, insertions in $O((\log_2 N) \log_2 \log_2 N)$ time amortized, and deletions in $O(\log_2^2 N)$ time amortized. Recently, Arge *et al.* [3] gave a structure that supports queries in $O(\log_2 N)$ time worst case, insertions in $O(\log_2^{1+\varepsilon} N)$ time amortized, and deletions in $O(\log_2^{2+\varepsilon} N)$ time amortized, for some arbitrary fixed constant $0 < \varepsilon < 1$. All three structures use linear space. They all basically store the edges of the subdivision in an interval tree [16] constructed on their x-projection (as first suggested in [18]) and use this structure to answer *vertical ray-shooting queries*, that is, the problem of finding the first edge of Π hit by a ray emanating in the (+y)-direction from a query point p. After answering a vertical ray-shooting query, the face containing p can be easily found in $O(\log_2 N)$ time [22].

External memory model. In this paper, we are interested in the problem of dynamically maintaining a planar subdivision on disk, such that the number of I/O operations (or I/Os) used to perform a query or an update is minimized. We consider the problem in the standard two-level I/O model proposed by Aggarwal and Vitter [2]. In this model, M is the number of elements (vertices/edges) that fit in the internal memory and B is the number of elements per disk block, where $2 \le B \le M/2$. An I/O is the operation of reading (or writing) a block from (or into) external memory. Computation can only be performed on elements in internal memory. The measures of performance are the number of I/Os used to solve a problem and the amount of space (disk blocks) used.

In the I/O-model, Goodrich *et al.* [19] designed a linear space (O(N/B) disk blocks) static data structure to store a planar monotone subdivision so that a query can be answered in optimal $O(\log_B N)$ I/Os. Arge *et al.* [5] designed a structure for general subdivisions with the same bounds. Goodrich *et al.* [19] also developed a structure for answering a batch of Q queries in $O(\frac{1}{B}(N+Q)\log_{M/B}N)$ I/Os for monotone subdivisions. Arge *et al.* [8] extended the batched result to general subdivisions (see also [15]), and Arge *et al.* [6] to an off-line dynamic setting where a sequence of queries and updates are given and all the queries should be answered as the sequence of operations is performed. Vahrenhold and Hinrichs [24] considered the problem under some practical assumptions about the input data. Only two results are known for the dynamic case. Agarwal *et al.* [1] designed a linear space structure for planar monotone subdivisions that supports queries in $O(\log_B^2 N)$ I/Os in the worst case and updates in $O(\log_B^2 N)$ I/Os amortized. Arge and Vahrenhold [7] designed the only previously known dynamic structure for general subdivisions. Their structure uses linear space and supports queries in $O(\log_B^2 N)$ I/Os in the worst case, and insertions and deletions in $O(\log_B^2 N)$ and $O(\log_B N)$ I/Os amortized, respectively.

1.2 Our results

In this paper we describe a linear space dynamic structure for point location in general planar subdivisions. Our structure supports queries in $O(\log_B^2 N)$ I/Os like the previously known structure [7] but supports both insertions and deletions in $O(\log_B N)$ I/Os amortized. Our structure is also considerably simpler.

Our main contribution is a structure (called a *multislab structure*) for dynamically maintaining a set of segments with endpoints on B^{ε} vertical lines, for some constant ε where $0 < \varepsilon \leq 1$, such that the segment immediately above a query point can be found in $O(\log_B N)$ I/Os and such that segments can be inserted and deleted in $O(\log_B N)$ I/Os amortized. Such a structure was also used in the previous I/O-efficient dynamic point location structure [7]. However, the previous structure required amortized $O(\log_B^2 N)$ I/Os to support insertions. Using the new multislab structure, our point location structure is obtained with essentially the same method as the previous structures [1, 7], namely by using the multislab structure as a secondary structure at the nodes of an interval tree over the projections of the segments on the x-axis.

The rest of the paper is organized as follows. In the next section we outline the overall structure of our point location data structure. In Section 3, we describe our new multislab structure. In these sections we assume for simplicity that the base interval tree is static. Section 4 describes how to rebalance the base interval tree during updates, and how to handle the resulting reorganization of the secondary structures.

2 Overall structure

In the following, we will concentrate on developing a dynamic structure for answering the *vertical ray-shooting* queries, i.e., maintain the set of segments S in Π , under insertions and deletions, such that the first segment hit by a ray emanating from a query point in the (+y)-direction can be found efficiently. It is easy to realize that a point location query with

p can be answered in an additional $O(\log_B N)$ I/Os once a vertical ray shooting query with p has been answered [7].

We will make frequent use of (a, b)-trees [20]. In (a, b)-trees objects are stored in the leaves of the tree. All leaves are on the same level of the tree, and all internal nodes have between a and b children, except possibly the root which has between 2 and b children. In this paper, all (a, b)-trees will satisfy that $a, b \in \Theta(B^{\varepsilon})$, for some constant $0 < \varepsilon \leq 1$; and each leaf stores $\Theta(B)$ objects. This way each node can be stored in O(1) blocks (assuming that the space required for a node is linear in the number of its children); a tree storing N objects has height $O(\log_{B^{\varepsilon}}(N/B)) = O(\log_B N)$, and it uses linear space. We refer to an (a, b)-tree with $a = cB^{\varepsilon}$ and $b = B^{\varepsilon}$, for some $0 < c \leq 1/2$ as a B^{ε} -tree. (A normal B-tree [11, 14], or rather B⁺-tree, is such a structure with $\varepsilon = 1$.) Assuming the objects are stored in the sorted order of their keys in the leaves (as in a normal B-tree), key-based searches can be performed in $O(\log_B N)$ I/Os. Insertions and deletions can also be performed in $O(\log_B N)$ I/Os using $O(\log_B N)$ split and fuse operations on the nodes on a root-leaf path [20].

2.1 Overview of the data structure

The basic idea in our structure is the same as previously applied by Agarwal *et al.* [1] and Arge and Vahrenhold [7], and is similar to the one used in several internal memory structures [10, 12, 18]. The set of edges/segments S of Π is stored in a two-level tree structure, with the first level being an interval tree—here an external interval tree [9] on their *x*-projection: the base (interval) tree is a B^{ε} -tree \mathcal{T} over the *x*-coordinates of the endpoints of the segments in S (a possible value for ε is $\varepsilon = 1/5$); the segments in S are stored in secondary structures associated with the nodes of \mathcal{T} , such that each segment is stored at exactly one node of \mathcal{T} . Each node v of \mathcal{T} is associated with a vertical slab s_v ; the root is associated with the whole plane. For each internal node v, the slab s_v is partitioned into B^{ε} vertical slabs $s_1, \ldots, s_{B^{\varepsilon}}$ corresponding to the children of v, separated by vertical lines called slab boundaries (the dashed lines in Figure 1(a)). A segment t of S is stored in the secondary structures associated with the highest node v of \mathcal{T} , where t intersects one of the slab boundaries partitioning the slab s_v .

For simplicity, in the following we assume that the endpoints of the segments in S have distinct x-coordinates, such that each leaf stores O(B) segments in O(1) blocks. Our structure can be easily modified to work without this assumption. Let v be an internal node of \mathcal{T} and let $S_v \subseteq S$ be the set of segments associated with v. Let $t \in S_v$ be one of the segments associated with v, and suppose that the left endpoint of t lies in the slab s_ℓ and the right endpoint of t lies in the slab s_r associated with the ℓ -th and r-th children of v, respectively. We call the subsegment $t \cap s_\ell$ the *left* subsegment of $t, t \cap s_r$ the *right* subsegment, and the portion of t lying in the slabs $s_{\ell+1}, \ldots, s_{r-1}$ the *middle* subsegment. Refer to Figure 1(a). Let \mathcal{M} denote the set of middle subsegments of segments in \mathcal{S}_v . For each $i, 1 \leq i \leq B^{\varepsilon}$, let \mathcal{L}_i (resp., \mathcal{R}_i) denote the set of left (resp., right) subsegments that lie in s_i . We store the following secondary structures at v:

(i) A multislab structure Δ on the set of middle segments \mathcal{M} .



Figure 1: (a) A node in the base tree \mathcal{T} . The left subsegment of t is in slab s_1 , the right subsegment in slab s_4 , and the middle subsegment spans s_2 and s_3 . (b) Answering a query.

(ii) For each slab s_i , $1 \le i \le B^{\varepsilon}$,

- a *left structure* on all segments of \mathcal{L}_i , and

- a right structure on all segments of \mathcal{R}_i .

A segment in S_v is thus stored in at most three secondary structures: a multislab structure, a left structure, and a right structure. For example, the segment t in Figure 1(a) is stored in the multislab structure Δ , the left structure of s_1 , and in the right structure of s_4 . The secondary structures are constructed to use linear space so that each internal node vrequires $O(|S_v|/B)$ disk blocks. This in turn means that overall the data structure requires O(N/B) disk blocks.

Query algorithm. Let ρ^+ be the ray emanating from a point p in the (+y)-direction. To find the first segment of S hit by ρ^+ , we search \mathcal{T} along a path of length $O(\log_B N)$ from the root to the leaf z where the slab s_z contains p. At each internal node v visited, we compute the first segment of S_v hit by ρ^+ . In particular, at node v we first search Δ to find the first segment of \mathcal{M} hit by ρ^+ . Next, we find the vertical slab s_i that contains p and search the left and right structures for s_i to find the first segments of \mathcal{L}_i and \mathcal{R}_i , respectively, hit by ρ^+ . Refer to Figure 1(b). At the leaf z, the first segment of S_z hit by ρ^+ is computed by testing all segments of S_z explicitly. The query is then answered by choosing the segment with lowest intersection with ρ^+ among the $O(\log_B N)$ segments found this way.

Based on ideas due to Cheng and Jarnadan [12], Agarwal *et al.* [1] showed how the left and right structures can be implemented efficiently, basically using B-trees:

Lemma 1 (Agarwal et al. [1, Lemma 3]) A set of K non-intersecting segments all of whose right (left) endpoints lie on a single vertical line can be stored in a linear space data structure such that a vertical ray-shooting query can be answered in $O(\log_B K)$ I/Os. Updates can be performed in $O(\log_B K)$ I/Os. If the set of segments is sorted by the right (left) ycoordinates of the endpoints, then the structure can be constructed in O(K/B) I/Os.



Figure 2: The search for the successor of x in L_j . Crosses indicate elements from L_j . Underbraces show the intervals spanned by the nodes in the B^c -tree.

In the next section, we prove the following lemma, which is used to implement all the multislab structures efficiently.

Lemma 2 For a constant $0 < \varepsilon \le 1/5$, a set of K non-intersecting segments with endpoints on $B^{\varepsilon} + 1$ vertical lines can be stored in a linear space data structure such that a vertical rayshooting query can be answered in $O(\log_B K)$ I/Os. Updates can be performed in amortized $O(\log_B K)$ I/Os.

Lemma 1 and Lemma 2 together imply that our overall structure can answer queries in $O(\log_B^2 N)$ I/Os since we use $O(\log_B N)$ I/Os to query the secondary structures at each of the $O(\log_B N)$ nodes on a root-leaf path of \mathcal{T} . Ignoring updates in the base tree \mathcal{T} (insertion/deletion of endpoints and rebalancing), updates can be performed in $O(\log_B N)$ I/Os simply by searching down a root-to-leaf path of \mathcal{T} to find the relevant node v and then updating the appropriate left and right structures and the multislab structure associated with v. In Section 4 we discuss how the base tree can also be updated in amortized $O(\log_B N)$ I/Os. Combining these, we obtain our main result:

Theorem 1 A set S of N non-intersecting segments in the plane can be stored in a linear space data structure, such that a vertical ray-shooting query can be answered in $O(\log_B^2 N)$ I/Os, and such that updates can be performed in amortized $O(\log_B N)$ I/Os.

3 Multislab structure

Let \mathcal{M} be a set of K non-intersecting segments in the plane with endpoints on $B^{\varepsilon} + 1$ vertical lines $b_1, \ldots, b_{B^{\varepsilon}+1}$. For each $i, 1 \leq i \leq B^{\varepsilon}$, let s_i be the vertical slab bounded by b_i and b_{i+1} . In this section we consider the problem of maintaining \mathcal{M} in a structure using O(K/B)disk blocks that supports vertical ray shooting queries in $O(\log_B K)$ I/Os and updates in amortized $O(\log_B K)$ I/Os.

Our data structure will actually consist of two different data structures for the two cases: (i) $B^{2\varepsilon} = O(\log_B K)$, and (ii) $\log_B K = O(B^{2\varepsilon})$, for some constant ε , $0 < \varepsilon < 1/5$. In Case (i), discussed in Section 3.3, we maintain sorted lists of segments for every pair of slab boundaries. Since the number of these lists is bounded by $B^{2\varepsilon} = O(\log_B K)$, we can support queries efficiently. In Case (ii), we use the logarithmic method [7] to reduce the problem to $O(\log_B K)$ deletion-only problems, and show how to support deletions efficiently using the fact that the number of structures created by the logarithmic method is bounded by $O(\log_B K) = O(B^{2\varepsilon})$. For this case, we first describe a deletion-only structure in Section 3.2, and then we describe how to use the logarithmic method to obtain a fully-dynamic structure in Section 3.4. In both cases we utilize a structure that supports efficient simultaneous searching in $O(B^{1-c})$ sorted lists of total size K, for some positive constant 0 < c < 1, in $O(\log_B K)$ I/Os. This structure is described in Section 3.1.

In fact, we will implement all multislab structures at the nodes of the base tree \mathcal{T} by either the data structure of Section 3.3 when $B^{2\varepsilon} = O(\log_B N)$, or by the data structure of Section 3.4 otherwise (when $\log_B N = O(B^{2\varepsilon})$). Here N is the total number of segments stored. This increases the I/O bound for queries to a multislab structure storing K segments from $O(\log_B K)$ to $O(\log_B N)$ in the first case (see Corollary 1)—all other I/O bounds remain unchanged. In order to ensure that we always implement the multislab structures using the correct case (Section 3.3 or Section 3.4), we rebuild all multislab structures whenever N/2operations have been performed to the structure. This only adds amortized $O(\log_B N)$ I/Os to the update bounds.

3.1 Searching in multiple sorted lists

In this section we describe a structure supporting efficient simultaneous searching in m sorted lists L_1, L_2, \ldots, L_m of total size K, assuming $m = O(B^{1-c})$ for some constant c, 0 < c < 1. We assume that the elements in the lists come from a total order and the aim is to maintain the lists under insertions and deletions such that searching in all the lists simultaneously is supported in $O(\log_B K)$ I/Os. The structure is based on an idea utilized in [7] to search in a single deletion-only multislab structure. The main idea used is to store the merged lists in a single B^c -tree where each internal node stores the minimum and maximum elements from each list among all the elements in its subtree.

Consider the sorted list $L = \bigcup_{1 \le j \le m} L_j$ stored in O(K/B) blocks, where each element is augmented with information about the list L_j it belongs to. Our structure consists of a B^c -tree over the list L. For an internal node u of the B^c -tree, let $\min_{j,u}$ and $\max_{j,u}$ be the minimum and maximum elements that belong to the list L_j among all the elements that are stored in the subtree rooted at u; if the subtree does not contain any element from L_j we let $\min_{j,u} = \infty$ and $\max_{j,u} = -\infty$. For each node v in the B^c -tree, we store for each child u of v, and for each list L_j , the elements $\min_{j,u}$ and $\max_{j,u}$. Since $B^c \cdot m = O(B)$, these elements can be stored in O(1) blocks associated with v, i.e., the tree can be stored in O(K/B) blocks.

Query algorithm. To find the successor in L_j of a query element x in each of the m lists L_j , $1 \leq j \leq m$, we search for x along a path $v_1, v_2, \ldots v_h$ from the root (v_1) to a leaf (v_h) of the augmented B^c -tree on L. The leaf v_h is uniquely determined by the element x (it is the block to which x would belong, had it been in one of the L_j lists). If $x > \max_{j,v_1}$, we know that $x > \max L_j$ and we return ∞ for the list L_j (where $\max L_j$ denotes the maximum element in the list L_j). Otherwise, we find the answer for list L_j at node v_i that satisfies the condition: $\max_{j,v_{i+1}} < x \leq \max_{j,v_i}$; and the answer in this case is the successor of x among all $\min_{j,u}$ stored at v_i , where u ranges over the children of v_i . Refer to Figure 2. If no such node exists, i.e., if we reach the leaf v_h without having answered the query for list L_j , then

we have $x \leq \max_{j,v_h}$, and we simply return the successor of x among the elements from L_j stored in v_h . It is easy to verify that this correctly finds the successor of x in L_j . Since the successors of x in all the lists L_j , $1 \leq j \leq m$, can be found in one traversal of the root-to-leaf path, the query is answered in $O(\log_B K)$ I/Os.

Supporting updates. Updates are performed in a straightforward manner similar to a B-tree. To insert/delete an element x into/from a list L_j , we first search for x in the B^c -tree over L to find the leaf block spanning the interval containing x and perform the update. Then we traverse the path back to the root while updating the min_{j,v} and max_{j,v} values. This takes $O(\log_B K)$ I/Os since min_{j,v} and max_{j,v} can be updated in O(1) I/Os in a node v. Finally, we rebalance the tree as in the case of a standard B-tree update, i.e., we split and fuse nodes on the root-to-leaf path as required. As the min_{j,v} and max_{j,v} values can also easily be maintained in O(1) I/Os during a split or fuse, the rebalancing is performed in $O(\log_B K)$ I/Os.

Construction. Our data structure can be constructed from a set of sorted lists L_1, \ldots, L_m by first forming the list $L = \bigcup_{1 \le j \le m} L_j$ by pairwise merging the L_j lists in a binary tree fashion. Assuming that the lists are stored in memory in sorted order, this can be done in $O(m+(K/B)\log_2 m)$ I/Os. (This follows from the fact that we spend O(m+(K/B)) I/Os to read all the lists and merge them pairwise; then in the next iteration, spend O(m/2+(K/B))I/Os for pairwise merging, and so on.) We then construct the B^c -tree over L bottom-up using O(K/B) I/Os. In total the construction requires $O(m + (K/B)\log_2 B)$ I/Os, since $m = O(B^{1-c})$. The additive O(m) I/O term for accessing the first block of each of the m lists can be avoided in the construction time if the lists are stored consecutively in the memory in O(K/B) blocks.

Lemma 3 Let L_1, L_2, \ldots, L_m be $m = O(B^{1-c})$ sorted lists, for some constant 0 < c < 1, of total size K whose elements come from a total order. There exists a linear space data structure that supports the simultaneous search for the successor of a query element x in each of the m lists in $O(\log_B K)$ I/Os, and supports the insertion and deletion of an element from a list L_j in $O(\log_B K)$ I/Os. The data structure can be constructed in $O(m + (K/B)\log_2 B)$ I/Os, provided each list L_i is stored in sorted order. Moreover, if the lists are stored consecutively in O(K/B) blocks, then the construction time is $O((K/B)\log_2 B)$ I/Os.

3.2 Deletion-only structure

In this section we describe a structure that supports I/O-efficient ray-shooting queries and deletions on a set \mathcal{M} of K segments in the plane with endpoints on $B^{\varepsilon} + 1$ vertical lines, where $0 < \varepsilon \leq 1$. In Section 3.4 we will use this structure to develop a fully-dynamic structure for the case where $\log_B K = O(B^{2\varepsilon})$. The main idea is to construct a samplig of segments over the blocks of the lists, and maintain it under deletions.

Our structure utilizes a partial order on non-intersecting segments in the plane [7].



Figure 3: Deletion-only structure. The numbers next to the segments are the ranks of the segments in the sorted list L. The shaded areas show the blocking of L into 3 blocks. The thick lines are samples for the slabs. Note that segment 7 is sampled twice.

Definition 1 A segment x in the plane is above a segment y in the plane, denoted as $y \prec x$, if there exists a vertical line λ intersecting both x and y such that the intersection between λ and x is above the intersection between λ and y.

Two segments are incomparable if they cannot be both intersected by any vertical line. The segment sorting problem is the problem of extending the partial order \prec to a total order. Arge *et al.* [8, Lemma 3] showed how to solve the segment sorting problem on K segments in $O(\frac{K}{B}\log_{M/B}\frac{K}{B})$ I/Os.

Let L be the sorted list of segments in \mathcal{M} under the above total ordering stored in a list of blocks. The sorted order remains valid under deletions of segments from \mathcal{M} . In fact, we will let the blocking of L remain unchanged during deletions of segments, that is, segments are simply deleted from the blocks storing L. For each slab s_k we also generate a list $L^k \subseteq L$ of segments crossing the slab s_k , by sampling exactly one segment crossing the slab s_k from each block b of L if block b contains at least one such segment. If at most B^{ε} segments in block b cross the slab s_k , then we pick the sample arbitrarily. Note that in this case, a segment can be sampled for several slabs (as segment 7 in Figure 3). If more than B^{ε} segments in block b cross the slab s_k , then we make sure to pick a sample that is not used as a sample for any other slab. Each L^k list is represented by a B-tree and we store pointers between the same segments in L^k and L. Our structure uses linear space, since the total size of the L^k lists is $O(\frac{K}{B}B^{\varepsilon})$. Using the I/O-efficient segment sorting algorithm [8] the structure can be constructed in $O(\frac{K}{B} \log_{M/B} \frac{K}{B})$ I/Os.

Query algorithm. To perform a vertical ray-shooting query we first find the slab s_k containing the query point p, using O(1) I/Os (by storing the $O(B^{\varepsilon})$ slab boundaries in O(1) blocks). Next we use the B-tree on L^k to find the answer x to the query with respect to the segments in L^k in $O(\log_B K)$ I/Os. Finally, we answer the query with respect to the segments in L in an additional O(1) I/Os simply by inspecting the segments in the blocks

of L containing x and the predecessor of x in L^k . Refer to Figure 3. In total we answer the query in $O(\log_B K)$ I/Os.

Deletion. To delete a segment x from block b of L we simply delete x from b, and also from each list L^k where x appears as a sample, while replacing x in L^k with a new sample segment from b crossing s_k (if there is at least one). If at most B^{ε} segments in b cross s_k we pick the sample arbitrarily; otherwise we make sure to pick a segment that is not used as a sample for any other slab.

Amortized analysis of deletion. We now analyze the cost of performing d deletions on our structure. To bound the total number of updates to the L^k lists during the deletions we need the following two observations: 1) a sampled segment in a list L^k continues to serve as a sample until it is deleted from the structure; 2) if a segment x in a block b is used as a sample for several slabs, at most one slab s_k can be spanned by more than B^{ε} segments in b. The latter follows from the fact that we never sample a segment for a slab if: (i) the segment is already a sample for another slab, and (ii) there are more than B^{ε} alternative segments to sample from. A sampled segment x from block b for slab s_k is now denoted a sparse sample if at most B^{ε} segments in block b span slab s_k . By the second observation above, a sequence of d deletions can at most delete d samples that are not sparse samples. (Even though a single deletion could delete $O(B^{\varepsilon})$ sparse samples, it can only delete one non-sparse sample). Furthermore, during the sequence of deletions each of the O(K/B) blocks can have at most B^{ε} distinct sparse samples for each of the B^{ε} slabs. It follows that the total number of samples that need to be updated during a sequence of d deletes is $O(d + \frac{K}{B}B^{\varepsilon}B^{\varepsilon})$. Since each update to an L^k list requires an update to the corresponding B-tree using $O(\log_B K)$ I/Os, the d deletions require a total of $O((d + \frac{K}{B}B^{2\varepsilon})\log_B K)$ I/Os

Lemma 4 For a constant $0 < \varepsilon \leq 1$, a set of K non-intersecting segments with endpoints on at most $B^{\varepsilon} + 1$ vertical lines can be maintained in a linear space data structure under deletion of segments, such that vertical ray-shooting queries can be answered in $O(\log_B K)$ I/Os. The construction of the structure and d deletions take $O(\frac{K}{B} \log_{M/B}(K/B) + (d + \frac{K}{B}B^{2\varepsilon}) \log_B K)$ I/Os in total.

3.3 Case (i) $B^{2\varepsilon} = O(\log_B K)$

In this section we describe a structure for maintaining a set \mathcal{M} of K non-intersecting segments in the plane with endpoints on $B^{\varepsilon} + 1$ vertical lines, for a constant $0 < \varepsilon < 1/2$, such that vertical ray-shooting queries can be answered I/O-efficiently when $B^{2\varepsilon} = O(\log_B K)$, assuming (w.l.o.g.) that $B^{\varepsilon} \leq B/4$. The main idea is to construct a *multislab list* for each pair of slabs, and maintain a *sampling* of these multislab lists using the structure of Lemma 3.

We first partition the segments in \mathcal{M} into $O(B^{2\varepsilon})$ multislab lists $L_{\ell,r}$, for $1 \leq \ell < r \leq B^{\varepsilon} + 1$, such that $L_{\ell,r}$ contains all the segments of \mathcal{M} whose left and right endpoints are on the vertical lines b_{ℓ} and b_r , respectively. All the segments in each multislab list $L_{\ell,r}$ are sorted in increasing order with respect to their left endpoint and stored in order (in a B-tree) on



Figure 4: The multislab list $L_{2,5}$. The dashed lines show the partition of the segments into blocks. The sampled segments for the slabs s_2 , s_3 and s_4 are emphasized with thick lines.

disk. We maintain the blocks of each $L_{\ell,r}$ so that they contain between B/4 and B segments. We call a block *full* if it contains B segments and *sparse* if it contains B/4 segments.

For each slab s_k and pair of vertical lines b_ℓ and b_r , where $1 \leq \ell \leq k < r \leq B^{\varepsilon} + 1$, let $L_{\ell,r}^k$ be the list obtained by picking one (arbitrary) segment from each block of $L_{\ell,r}$. We call $L_{\ell,r}^k$ the sampling list of $L_{\ell,r}$ for slab s_k . If $|L_{\ell,r}| < B$, we define $L_{\ell,r}^k$ to be the empty list. We maintain pointers between the same segments in the multislab and sampling lists, and keep the sampling lists $L_{\ell,r}^k$ disjoint under insertions and deletions of segments in the multislab list $L_{\ell,r}$, i.e., a segment in $L_{\ell,r}$ can be sampled for at most one of the slabs s_ℓ, \ldots, s_{r-1} . Refer to Figure 4. To maintain the sampling lists disjoint, we label a segment in $L_{\ell,r}$ with k if it is sampled in s_k , and for each slab s_k , we construct a structure for simultaneously searching in the $O(B^{2\varepsilon})$ sampling lists $L_{\ell,r}^k$, for $1 \leq \ell \leq k < r \leq B^{\varepsilon} + 1$. Since each multislab list $L_{\ell,r}$ can at most span $B^{\varepsilon} \leq B/4$ slabs and each block of $L_{\ell,r}$ contains at least B/4 segments, there will always be at least one unmarked segment in each block. Also, since we have a total order on the segments in the $L_{\ell,r}^k$ lists (which is same as the order of the intersections between the segments and the vertical line b_k), by choosing $c = 1 - 2\varepsilon$ we can utilize the linear space structure of Lemma 3 for constructing this simultaneous-search structure.

Query algorithm. To answer a vertical ray-shooting query we first find the slab s_k containing the query point p. This takes O(1) I/Os if we store the slab boundaries of the B^{ε} slabs in a block. Next we use the structure of Lemma 3 to answer the query in each of the sample lists $L_{\ell,r}^k$ in $O(\log_B K)$ I/Os. Finally, for each of the $O(B^{2\varepsilon})$ multislab lists $L_{\ell,r}$, $1 \leq \ell < r \leq B^{\varepsilon} + 1$, we in turn use the result x of the query in $L_{\ell,r}^k$ to answer the query in $L_{\ell,r}$. We can do so in O(1) I/Os since the answer is either in the block of $L_{\ell,r}$ containing x or in its predecessor block; in the case where $|L_{\ell,r}| < B$ (such that $L_{\ell,r}^k$ is empty) we

simply search directly in $L_{\ell,r}$ using O(1) I/Os. Refer to Figure 4. Overall we answer the ray-shooting query in $O(B^{2\varepsilon} + \log_B K)$ I/Os.

Insertion. To insert a segment t spanning slabs s_{ℓ} through s_r , we first insert t in the multislab list $L_{\ell,r}$ using $O(\log_B K)$ I/Os. If the block containing t is now full (with B segments in it), then we split it into two blocks, each containing roughly B/2 segments from the original block. This creates the need for updating the pointers between at most B^{ε} existing samples and the sampling of at most B^{ε} new segments to be inserted in the $L_{\ell,r}^k$ sample lists, $\ell \leq k < r$, and thus in the corresponding Lemma 3 structures. Since each insertion (an update of pointers between the same segments in multislab and sample lists) takes $O(\log_B K)$ I/Os, the total cost of the split is $O(B^{\varepsilon} \cdot \log_B K)$ I/Os. Amortizing this cost over the $\Theta(B)$ insertions in the full block since its creation, the split is handled in amortized $O(\log_B K)$ I/Os.

Deletion. Deletions are handled in a similar way, by fusing a sparse block with an adjacent block and splitting the fused block again if necessary (as in the case of standard B-tree operations), to guarantee that the resulting blocks contain between $\frac{3}{8}B$ and $\frac{3}{4}B$ segments. Furthermore, when a sampled segment for slab s_k is deleted from $L_{\ell,r}$, we replace it with an unsampled segment in the same block of $L_{\ell,r}$. This requires a deletion and an insertion on a Lemma 3 structure. Overall, deletions can also be performed in amortized $O(\log_B K)$ I/Os.

Construction. To construct the structure from the set of $O(B^{2\varepsilon})$ sorted multislab lists $L_{\ell,r}$, where $1 \leq \ell < r \leq B^{\varepsilon} + 1$, we first scan each of the multislab lists, $L_{\ell,r}$, and construct the $O(B^{\varepsilon})$ sorted sampling lists $L_{\ell,r}^k$, for $1 \leq k \leq B^{\varepsilon}$ as follows: after reading a block from $L_{\ell,r}$, for some ℓ and r, we pick $O(B^{\varepsilon})$ samples from the block, one for each of the B^{ε} slabs such that no segment is picked for two different slabs. These sampled segments are first written to the main memory, and whenever number of sampled segments in the main memory exceeds B, we append them to the $O(B^{\varepsilon})$ partially generated lists $L_{\ell,r}^k$, for $1 \leq k \leq B^{\varepsilon}$, using $O(B^{\varepsilon})$ I/Os. Thus for a multislab list consisting of I blocks, we spend $O(I+z \cdot (B^{\varepsilon}/B))$ I/Os to generate its sampled lists, I sums up to $O(B^{2\varepsilon} + K/B)$ blocks, and z sums up to $O((K/B) \cdot B^{\varepsilon})$. Hence the total time required to generate all the sampled lists is $O(B^{2\varepsilon} + K/B + (K/B) \cdot B^{\varepsilon} \cdot (B^{\varepsilon}/B)) = O(B^{2\varepsilon} + K/B)$ I/Os.

Next, for each slab s_k , for $1 \le k \le B^{\varepsilon}$, we generate a simultaneous searching structure using construction algorithm of Lemma 3 from the sampled lists $L_{\ell,r}^k$, where $1 \le \ell < r \le B^{\varepsilon} + 1$. If z is the number of segments sampled for slab s_k , then all the sampled lists for k can be stored in O(1 + z/B) blocks. Since z sums up to $O((K/B) \cdot B^{\varepsilon})$ over all the slabs, the total construction time for generating the simultaneous searching structures for all the slabs is $O(B^{\varepsilon} + \frac{(K/B)B^{\varepsilon}}{B} \log_2 B) = O(B^{\varepsilon} + K/B)$ I/Os. Thus, the total construction time for the data structure is $O(B^{2\varepsilon} + K/B)$ I/Os.

Lemma 5 For a constant $0 < \varepsilon < 1/2$, a set of K non-intersecting segments with endpoints on $B^{\varepsilon} + 1$ vertical lines can be stored in a linear space data structure such that a vertical ray-shooting query can be answered in $O(B^{2\varepsilon} + \log_B K)$ I/Os. Updates can be performed in amortized $O(\log_B K)$ I/Os. The data structure can be constructed in $O(B^{2\varepsilon} + K/B)$ I/Os, provided the K segments are given in $O(B^{2\varepsilon})$ sorted multislab lists.

Note that when $k \leq N$ and $B^{2\varepsilon} = O(\log_B N)$ the query bound in Lemma 5 is $O(\log_B N)$ I/Os. Also when $K/B < B^{2\varepsilon}$, we simply maintain the segments in O(K/B) blocks, on which queries and updates can be supported in $K/B = O(B^{2\varepsilon}) = O(\log_B N)$ I/Os, by scanning all the segments. Thus we have:

Corollary 1 When $B^{2\varepsilon} = O(\log_B N)$, for a constant $0 < \varepsilon < 1/2$, a set of K nonintersecting segments with endpoints on $B^{\varepsilon}+1$ vertical lines, given as $O(B^{2\varepsilon})$ sorted multislab lists, can be stored in a linear space data structure such that a vertical ray-shooting query can be answered in $O(\log_B N)$ I/Os. Updates can be performed in amortized $O(\log_B K)$ I/Os. The data structure can be constructed in O(K/B) I/Os.

3.4 Case (ii) $\log_B K = O(B^{2\varepsilon})$

In this section we describe a structure for maintaining a set \mathcal{M} of K non-intersecting segments in the plane with endpoints on $B^{\varepsilon} + 1$ vertical lines, where K satisfies $\log_B K = O(B^{2\varepsilon})$, and $0 < \varepsilon \leq 1/5$, such that vertical ray-shooting queries can be answered I/O-efficiently. The main idea is to partition the set of segments into $O(\log_B N)$ sets, each of which is maintained as a deletion-only structure. We then use the external version of the *logarithmic method* to efficiently support insertions. To support queries on the deletion-only structures, we maintain them using the *simultaneous searching structure* of Lemma 3.

We use the deletion-only structure described in Section 3.2. For the case when $\log_B K = O(B^{2\varepsilon})$ and $0 < \varepsilon \leq 1/5$ we can restate the I/O bounds of Lemma 4 as follows:

Corollary 2 For a constant $0 < \varepsilon \leq 1/5$ and $\log_B K = O(B^{2\varepsilon})$, a set of K non-intersecting segments with endpoints on at most $B^{\varepsilon} + 1$ vertical lines can be maintained in a linear space data structure, such that vertical ray-shooting queries can be answered in $O(\log_B K)$ I/Os and such that deletions can be performed in amortized $O(\log_B K)$ I/Os. The structure can be constructed in amortized $O(K/B^{\varepsilon})$ I/Os.

The preprocessing bound of Corollary 2 follows from the following derivation:

$$O\left(\frac{K}{B}\log_{M/B}(K/B) + \frac{K}{B}B^{2\varepsilon}\log_{B}K\right)$$

= $O\left(\frac{K}{B}\log_{B}K \cdot \log_{2}B + \frac{K}{B}B^{4\varepsilon}\right)$
= $O\left(\frac{K}{B}B^{2\varepsilon} \cdot B^{\varepsilon} + \frac{K}{B^{1-4\varepsilon}}\right)$
= $O\left(\frac{K}{B^{1-4\varepsilon}}\right)$
= $O\left(\frac{K}{B^{\varepsilon}}\right)$.

To obtain our structure, we use the external version of the logarithmic method described in [7]. More precisely, we partition \mathcal{M} into $O(\log_B K)$ sets S_0, S_1, S_2, \ldots , such that $|S_i| \leq B^{1+i\varepsilon}$, and store each set S_i in the deletion-only structure of Section 3.2. We will ensure that the number of deletion-only structures is always $O(\log_B K)$. However, in order to be able to efficiently answer the same ray-shooting query on all the deletion-only structures (i.e., on the sets S_i) simultaneously, we slightly modify the deletion-only structures. More precisely, for each slab s_k we replace the B-trees on the L^k -lists of all $O(\log_B K)$ deletion-only structures with the linear space structure of Lemma 3 (Section 3.1). This way we can simultaneously search in the $O(\log_B K) = O(B^{2\varepsilon}) L^k$ -lists. We can do so since we have a total order on the segments in the L^k -lists (the order of the intersections between the segments and the vertical line b_k) and by choosing $c = 1 - 2\varepsilon$. The overall space usage of our structure is linear, since each of the deletion-only structures (Lemma 3) use linear space.

Query algorithm. To answer a vertical ray-shooting query we first find the slab s_k containing the query point p using $O(\log_B K)$ I/Os. Next we use the simultaneous searching structure for slab s_k to answer the query in the L^k -list of each of the deletion-only structures using $O(\log_B K)$ I/Os. Finally, we answer the query on each of the deletion-only structures (using O(1) I/Os on each of the $O(\log_B K)$ structures) as described in Section 3.2. Overall we answer a query in $O(\log_B K)$ I/Os.

Insertion. In order to insert a new segment x we first determine the smallest i such that $\sum_{j=0}^{i} |S_i| < B^{1+i\varepsilon}$. If i = 0 we simply insert x in S_0 . This takes O(1) I/Os since $|S_0| \leq B$. Otherwise, we discard the structures $S_0, S_1, S_2, \ldots, S_{i-1}$ and replace the structure S_i with a new deletion-only structure $S'_i = \{x\} \cup \bigcup_{j \leq i} S_j$ of size $|S'_i| = 1 + \sum_{j=0}^{i} |S_j| \leq B^{1+i\varepsilon}$. The construction of S'_i also requires updating the simultaneous search structure on the L^k lists. More precisely, we need to delete the $O(\frac{|S'_i|}{B}B^{\varepsilon})$ segments in the old L^k lists and insert $O(\frac{|S'_i|}{B}B^{\varepsilon})$ new segments in the new lists. Each such update requires $O(\log_B K)$ I/Os (Lemma 3). By Corollary 2 we then have that in total the insertion of segment x requires amortized

$$O\left(\frac{|S_i'|}{B^{\varepsilon}} + \frac{|S_i'|}{B}B^{\varepsilon}\log_B K\right) = O\left(\frac{|S_i'|}{B^{\varepsilon}}\right)$$

I/Os (since $\log_B K = O(B^{2\varepsilon})$ and $0 < \varepsilon \leq 1/5$). We charge this cost to the segments in the sets $S_0, S_1, \ldots, S_{i-1}$, which are moved to S'_i . Since there are at least $B^{1+(i-1)\varepsilon} \geq |S'_i|/B^{\varepsilon}$ such segments, it is sufficient to charge O(1) I/Os to each of the $\frac{1}{2}|S'_i|/B^{\varepsilon}$ most recently inserted segments in S_0, \ldots, S_{i-1} . This way a segment x is only charged when it moves from a set S_j to a set S_i , with j < i; this in turn happens only if the number of segments K_x in \mathcal{M} at the time when x is inserted is at least $\frac{1}{2}B^{1+(i-1)\varepsilon}$. It follows that x can be charged at most $O(\log_B K_x)$ times. Thus an insertion requires amortized $O(\log_B K)$ I/Os.

Deletion. To delete a segment we first perform a query to locate the deletion-only structure storing the segment. Then we simply delete the segment from the relevant deletion-only structure as described in Section 3.2. This may result in many (sampled) segments being deleted from or inserted into L^k lists. For each such update we also update the corresponding simultaneous search structure using $O(\log_B K)$ I/Os (Lemma 3). Since we already charged $O(\log_B K)$ I/Os to each update to an L^k list (in the analysis of the deletion-only structure in Section 3.2), it follows that we have already accounted for the cost of updating the simultaneous search structures. Thus a deletion requires amortized $O(\log_B K)$ I/Os.

Construction. To construct our structure on an initial set \mathcal{M} of K segments we simply create a single additional deletion-only structure S_{-1} on \mathcal{M} using $O(K/B^{\varepsilon})$ I/Os (Corollary 2). The structure for S_{-1} is never merged with the other deletion-only structures and is queried and updated separately.

Finally, to limit the number of deletion-only structures to $O(\log_B K)$ we periodically rebuild the structure when half of the inserted segments have been deleted. The rebuilding cost of $O(K/B^{\varepsilon})$ I/Os is charged to the $\Theta(K)$ deleted segments.

Lemma 6 For a constant $0 < \varepsilon \leq 1/5$ and $\log_B K = O(B^{2\varepsilon})$, a set of K non-intersecting segments with endpoints on $B^{\varepsilon} + 1$ vertical lines can be stored in a linear space data structure such that a vertical ray-shooting query can be answered in $O(\log_B K)$ I/Os. Updates can be performed in amortized $O(\log_B K)$ I/Os. The structure can be constructed in amortized $O(K/B^{\varepsilon})$ I/Os.

By combining Corollary 1 and Lemma 6, we obtain Lemma 2, from which our main result, Theorem 1 follows as explained in Section 2.

4 Rebalancing the base tree

In this section we discuss how to handle updates in the base interval tree \mathcal{T} . We use a weight balanced B^{ε} -tree [9] as the B^{ε} -tree used for the base interval tree \mathcal{T} . Each leaf in the tree stores at most B segment-endpoints. In such a tree a node v at height h has $X = \Theta(B \cdot B^{h\varepsilon})$ elements at the leaves in its subtree, and if rebalancing (split or fuse) of v (or rather the reorganization of its secondary structures) can be performed in O(X) I/Os, one can obtain an amortized $O(\log_B N)$ update bound [9, Theorem 3.8]. Below we discuss how to perform rebalancing of a node v when it splits as a result of insertion of new segments. Deletions are handled in a standard way by a periodical global rebuilding whenever half of the inserted elements have been deleted.

Splitting a node. Consider a node v at height h whose subtree stores $X = \Theta(B \cdot B^{h\varepsilon})$ segment-endpoints and needs to be split into two nodes v_1 and v_2 . Let u be the parent of v. The number of segment-endpoints stored in the secondary structures of v and u is O(X) and $O(XB^{\varepsilon})$, respectively. Figure 5 illustrates how the slabs associated with v are affected by the split: all the slabs to the left of a slab boundary b get associated with v_1 , the slabs to the right of b get associated with v_2 , and b becomes a new slab boundary in u. As a



Figure 5: Splitting a node v along b into two nodes v_1 and v_2 . The boundary b becomes a new boundary in the parent u.

result, all segments in the secondary structures of v containing b need to be inserted into the secondary structures of u (namely, into the right and left structures for the new slabs s_{v_1} and s_{v_2}). The rest of the segments need to be stored in the secondary structures of v_1 and v_2 . Furthermore, as a result of the addition of the new boundary b, some of the segments in u containing b also need to be moved to new secondary structures of u. Refer to Figure 6.

Constructing the left and right structures for the children. We first consider the segments in the secondary structures of v and the construct the secondary structures for v_1 and v_2 . Since each segment is stored in at most one left structure and at most one right structure, we can collect all segments containing b (to be moved to u) from v's left and right structures. We use O(X/B) I/Os to scan through the left structure of each slab s_k in turn, while constructing a list of segments that should stay in the left structure of s_k in v_1 or v_2 and a list of segments that should be inserted in the left structure of the slab in u with right boundary b. The segments in each list are automatically sorted by the y-coordinate of their intersection with the right boundary of s_k . Note that the $O(B^{\varepsilon})$ lists of segments that should be inserted in the new left structure in u are also automatically sorted by the y-coordinate of the intersection with b. We can therefore merge these lists into one list in a binary tree fashion using $O((X/B)\log_2 B^{\varepsilon}) = O(X)$ I/Os. Similarly, in O(X) I/Os we can construct a sorted list of segments that should stay in right structures of each slab s_k in v_1 or v_2 , as well as a sorted list of segments to be inserted in the right structure of the slab in u with left boundary b. Next we construct the left and right structures for v_1 and v_2 from the $O(B^{\varepsilon})$ sorted lists of segments that should not move to u; we can do so in O(X/B) I/Os in total by Lemma 1.



Figure 6: The top part shows some of the slabs partitioning the slab s_u , and the bottom part shows the slab s_v corresponding to the *i*-th child of *u*. When a new slab boundary *b* is inserted into the slab boundaries at *u*, all solid segments need to move: Segments in *v* containing *b* (solid segments in the bottom part) move to the parent *u* and some segments (solid segments in the top part) move within the parent *u*.

Constructing the multislab structures for the children. To construct the multislab structures for v_1 and v_2 we distinguish between two cases. In the case where $B^{2\varepsilon} = O(\log_B N)$ we simply construct the multislab structures from the relevant (already sorted) multislab lists from v in O(X/B) I/Os (Corollary 1); note that all multislab lists containing b are just deleted. In the case where $\log_B N = O(B^{2\varepsilon})$ we simply construct the multislab structures for v_1 and v_2 from the relevant segments in v using $O(N/B^{\varepsilon})$ I/Os (Lemma 6). Overall, we can construct all the secondary structures of v_1 and v_2 in O(X) I/Os as required.

Next, consider the segments in u. Some of the O(X) segments stored in the left structure of the slab in u containing b need to be moved to a new left structure for the new slab s_{v_1} to the left of b. We therefore scan through the O(X) segments in the left structure using O(X/B) I/Os and construct a list of segments that should stay in the old left structure (which will become the new left structure for s_{v_1} and a list of segments for the new left slab structure (for slab s_{v_2}), both sorted by the y-coordinate of the intersection with the relevant slab boundary. We merge the first list with the segments collected in v using O(X/B) I/Os, and then we construct the two left structures in another O(X/B) I/Os (Lemma 1). Similarly, we can construct the two relevant right structures from the segments in the right structure of the slab containing b in u and the segments collected in v in O(X/B) I/Os.

Finally, since u gets a new slab, the multislab structure of u also needs to be reconstructed. Again we distinguish between two cases. In the case where $B^{2\varepsilon} = O(\log_B N)$, we simply scan through each of the multislab lists for u and compute the new (sorted) multislab lists in $O(XB^{\varepsilon}/B) = O(X)$ I/Os. Then we construct the new multislab structure for u in $O(XB^{\varepsilon}/B) = O(X)$ I/Os (Corollary 1). In the case where $\log_B N = O(B^{2\varepsilon})$, we directly construct the new multislab structures from the segments in the old multislab structure of u using $O(XB^{\varepsilon}/B^{\varepsilon}) = O(X)$ I/Os (Lemma 6). Overall, we can construct all the secondary structures of u in O(X) I/Os as required.

5 Conclusions

We have presented an I/O-efficient dynamic point location data structure that stores a planar subdivision of size N using linear space (O(N/B) disk blocks), and supports insertions and deletions in amortized $O(\log_B N)$ I/Os and queries in $O(\log_B^2 N)$ I/Os in the worst-case. This improves the insertion bound of the previous best known structure [7]. Our structure is also considerably simpler than previous structures. It remains open to improve the query time to $O(\log_B N)$ I/Os.

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